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The health benefits of passive heating and aerobic exercise: to what extent do the mechanisms overlap?

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19 **ABSTRACT**

20 Exercise can induce numerous health benefits that can reduce the risk of chronic diseases and
21 all-cause mortality, yet a significant percentage of the population do not meet minimal
22 physical activity guidelines. Several recent studies have shown that passive heating can
23 induce numerous health benefits, many of which are comparable to exercise, such as
24 improvements to cardiorespiratory fitness, vascular health, glycaemic control and chronic
25 low-grade inflammation. As such, passive heating is emerging as a promising therapy for
26 populations who cannot perform sustained exercise or display poor exercise adherence. There
27 appears to be some overlap between the cellular signalling responses that are regulated by
28 temperature and the mechanisms that underpin beneficial adaptations to exercise, but detailed
29 comparisons have not yet been made. Therefore, the purpose of this mini review is to assess
30 the similarities and distinctions between adaptations to passive heating and exercise.
31 Understanding the potential shared mechanisms of action between passive heating and
32 exercise may help to direct future studies to implement passive heating more effectively and
33 identify differences between passive heating and exercise induced adaptations.

34

35 **Key words:** heat, therapy, exercise, health, adaptation

36

37 **Introduction**

38 Physical inactivity increases the risk of several chronic diseases, such as cardiovascular
39 disease, type 2 diabetes and obesity (4). In contrast, regular exercise elicits a variety of health
40 benefits and attenuates traditional cardiovascular disease risk factors, including blood
41 pressure and body weight/adiposity, as well as improved blood lipid profiles, insulin
42 sensitivity and cardiorespiratory fitness (43). More recently, this understanding has extended
43 to include non-traditional factors such as antiatherogenic effects propagated by functional and
44 structural adaptations within the vasculature (18) and the anti-inflammatory effects of
45 exercise (48). Despite the overwhelming evidence for its efficacy, exercise is typically not
46 well adhered to, with common self-reported barriers including lack of motivation, time, poor
47 physical fitness, and low self-esteem (53). However older, populations also avoid exercise
48 due to an increased fear of injury and pain (35). As such, alternative or adjunct therapies
49 capable of eliciting similar systemic health benefits have considerable clinical implications
50 and warrant further investigation.

51 In recent years there has been a resurgence of interest in the potential health benefits of
52 passive heating or 'thermal therapy', with some authors promoting heat therapy as a potential
53 alternative to exercise for populations with physical disabilities and those who find adherence
54 to exercise difficult (7, 26). Thus far, a range of different methods of passive heating have
55 been used such as sauna-bathing, hot water immersion, water-perfused suits or microwave
56 diathermy. Epidemiological studies from Finland, where sauna bathing is common, have
57 demonstrated that regular sauna bathing and a high level of cardiorespiratory fitness (argued
58 to be a surrogate of regular physical activity) independently reduce the risk of death by
59 cardiovascular disease, but this risk is further reduced by a combination of high
60 cardiorespiratory fitness and regular sauna bathing (33). If the protective effects of these
61 therapies are complementary, it raises the question as to 'how' these protective effects are

62 conferred and to what extent these mechanisms overlap. A growing number of studies have
63 begun to elucidate the mechanisms by which the protective effects of thermal therapy may be
64 conferred, and the reader is directed to reviews on these topics (for example 9, 25, 28). Given
65 that studies of passive heating are still in their relative infancy, understanding the potential
66 shared mechanisms of action with exercise may help to direct future studies and the
67 implementation of passive heating more effectively. In order to focus on the potential overlap
68 of mechanisms this review will primarily draw upon literature from non-diseased populations
69 and will only make reference to other populations where useful to do. The purpose of this
70 mini review is to a) assess the similarity and distinctions between the cardiovascular and
71 metabolic health benefits induced by passive heating and exercise, b) to highlight any areas
72 by which passive heating may lack some of the benefits of exercise and c) to discuss
73 important areas of future study.

74

75 **Cardiorespiratory Fitness**

76 Cardiorespiratory fitness (typically measured by maximal oxygen uptake, [VO_{2max}]) is a
77 strong predictor of all-cause mortality and death by cardiovascular disease (52), with some
78 authors suggesting that cardiorespiratory fitness is in fact a better predictor of all-cause
79 mortality than established cardiovascular disease risk factors (44). Despite its apparent
80 importance, a limited number of studies have reported the cardiorespiratory fitness responses
81 to thermal therapy, but results thus far are positive, with several studies reporting
82 improvements of ~2-3 mL/kg/min over 6-8 weeks (2, 24, 40).

83 Given that the beneficial health effects of exercise are thought to be due to the diverse
84 physiological adaptations that underpin improved cardiorespiratory fitness, the precise nature
85 of adaptations to both passive heating and exercise should be carefully considered. Even
86 when focussing solely on aerobic exercise, the mechanisms of adaptation are incredibly

87 broad, and span both the cardiovascular and musculoskeletal systems (see Figure 1).
88 Following aerobic training in untrained populations, increases in cardiac output and stroke
89 volume are considered to be amongst the largest contributing adaptations to improvements in
90 cardiorespiratory fitness (50) and these adaptations are thought to be due to increases in left
91 ventricular dimensions, increased myocardial contractility and an increased blood volume
92 (23). There is also a wealth of evidence from the heat acclimation literature that heating
93 induces an expansion of plasma volume (19), which contributes to enhanced
94 cardiorespiratory fitness via subsequent increases in blood volume, cardiac filling and stroke
95 volume (22). Somewhat surprisingly, few studies documenting increased cardiorespiratory
96 fitness following passive heating have assessed haematological or cardiac adaptations and
97 this warrants further consideration. Given the dearth of evidence from longitudinal studies,
98 discussion of the acute physiological responses to passive heating and exercise may help to
99 understand the '*potential*' chronic adaptations.

100 During maximal aerobic exercise cardiac output can increase by ~18-25 L/min while more
101 modest increases up to ~10 L/min are observed when core temperature is increased ~1.5°C
102 during passive heating using a water perfused suit (16). However, it should be noted that
103 there will be subtle differences in the acute physiological responses dependent upon the
104 method of heating; for example water immersion will cause an increase in hydrostatic
105 pressure and subsequent preload (38). During exercise the increase in cardiac output
106 primarily facilitates an increase in blood flow to the active muscle, while during passive
107 heating a significantly greater proportion of blood is distributed to the skin to facilitate
108 thermoregulation (10). The increase in cardiac output during heating is primarily facilitated
109 by an increased heart rate, which has been shown to increase by ~20-40 beats·min⁻¹
110 depending on the duration and intensity of the heat stimulus, yet this is considerably less than
111 that observed during moderate intensity exercise (15, 54). Furthermore, the increase in heart

rate during heating does not coincide with a concomitant increase in stroke volume, as is the case during exercise (11). As such, thermal therapy does induce some cardiac stress, albeit modest in comparison to exercise. Nevertheless, in heart failure patients, the magnitude of stimulus appears sufficient to improve cardiac function and cardiorespiratory fitness. For example, daily sauna bathing (15-20 minutes at 60°C) for 4 weeks has been shown to improve cardiorespiratory fitness in heart failure patients by ~3 mL/kg/min (40). This is similar to what is seen with moderate intensity exercise interventions in heart failure patients, but lower than the reported benefits seen with higher intensity exercise (increase of ~6 mL/kg/min) (58). Perhaps more importantly for this particular cohort, Wisløff et al., only reported beneficial left ventricular remodelling and improved cardiac function in the higher intensity exercise group, suggesting that a relatively increased degree of cardiac stress may be required for subsequent beneficial cardiac adaptations. However, it remains unclear if cardiac adaptations following passive heating extend to populations without severe limitations to their cardiac function and this should be investigated further.

Two recent studies in healthy populations have shown that passive heating, consisting of thrice weekly 30-50-minute sessions for 6-8 weeks, improved cardiorespiratory fitness to a similar extent (~5-8%) as time matched moderate intensity aerobic exercise (2, 24). However, cardiorespiratory fitness was not the primary outcome variable upon which the sample size was calculated in these studies and therefore larger studies are required before it can be firmly concluded that passive heating and exercise induce similar adaptations to aerobic fitness. Interestingly, Hesketh et al., reported adaptations within the skeletal muscle that likely contributed to the observed increase in cardiorespiratory fitness (as described in Figure 1), but there were some differences between the response to exercise and passive heating. Specifically, passive heating enhanced muscle endothelial nitric oxide synthase (eNOS) content and capillary density to a similar extent as exercise, but only exercise enhanced

137 markers of mitochondrial density (24). However, the thermal stimulus employed was
138 relatively low (40-50 min heat chamber exposure at 40°C and ~40% humidity), and in fact
139 core temperature was not elevated by passive heating but was significantly increased by the
140 exercise intervention. The current available evidence suggests that angiogenic adaptations to
141 passive heating require a relatively lower heat stimulus than mitochondrial adaptations,
142 which are not always evident (24, 32) and appear to require a more prolonged increase in
143 intramuscular temperatures which can be achieved more easily with local than whole body
144 heating (20). Taken together, passive heating does appear to improve cardiorespiratory fitness
145 in healthy sedentary and diseased populations, but as with exercise, the exact nature and
146 extent of these adaptations is likely determined by the duration, intensity, mode and location
147 of heating. For a detailed review of the skeletal muscle adaptations to heat therapy the reader
148 is directed to the recent review by Kim et al., (31).

150 **Vascular health**

151 It is widely accepted that most cardiometabolic diseases are characterised by vascular
152 dysfunction, which can include impaired endothelial function, arterial stiffening and
153 increased arterial wall thickness of both peripheral and central arteries. The protective effects
154 of exercise on the vasculature have been extensively reviewed elsewhere (18) and recent
155 evidence suggest that thermal therapy may also elicit a range of vascular benefits (10).

156 In response to exercise training, it is thought that there is an initial improvement in
157 endothelial function, as measured by brachial artery flow-mediated dilation (FMD), which
158 over time may be superseded by structural adaptations, such as an increased lumen diameter
159 and reduced arterial wall thickness (56). Several studies have shown that passive heating can
160 also enhance brachial artery endothelial function (2, 7, 9), however, it remains unclear
161 whether longer-term heat therapy can elicit any structural remodelling to peripheral vessels.

162 Brunt et al., have provided the most robust evidence of systemic vascular adaptation
163 following thermal therapy; showing improvements in peripheral artery endothelial function
164 and compliance, alongside reductions in central artery stiffness and wall thickness.
165 Encouragingly, this comprehensive work indicates that the magnitude of peripheral and
166 central artery adaptations following heat therapy are comparable to those typically observed
167 following exercise training. Indeed, Bailey demonstrated that for a similar acute increase in
168 core temperature (0.6-0.8°C) per session, 8 weeks of passive heating elicited the same
169 improvement in brachial artery FMD (1.7%) as continuous moderate intensity exercise
170 training. Nevertheless, it is probable that both the magnitude and time course of adaptation
171 will differ between peripheral and central vessels, and likely be influenced by the magnitude
172 of heat stress. For instance, the considerable vascular adaptations reported by Brunt et al.,
173 were in response to a 90 min protocol (aiming to increase core temperature by 1.5°C), while
174 others (2, 9) used a 30 min protocol that increased core temperature by only ~0. 6°C.
175 Episodic increases in shear stress is an essential stimulus for enhanced endothelial function
176 (18). Indeed, removing shear stress via the use of an inflatable cuff prevents the beneficial
177 effects of both exercise (56) and passive heating (9) on endothelial function. Interestingly,
178 there is evidence that an acute bout of passive heating can induce greater shear stress than
179 dynamic exercise (55), however, this finding is likely dependent upon the individual nature of
180 each stressor (i.e. magnitude and duration of heat stress and intensity of exercise) and direct
181 comparisons should be carefully considered within this specific context. Shear stress is
182 thought to induce a cascade of signalling factors, including eNOS, VEGF, and multiple heat
183 shock proteins (HSPs), which contribute to angiogenesis following exercise (18) and passive
184 heating (10). Recent evidence suggests that nitric oxide appears essential to angiogenic
185 adaptations following passive heating (7, 8), however, the role of other circulating factors

including heat shock proteins and VEGF appears less clear and indeed in some cases display distinct responses to exercise and heat (41, 42).

Cardiometabolic health

Regular exercise elicits a range of beneficial effects on cardiometabolic health, with previous research historically focussing on improvements to classic cardiovascular risk factors, such as blood pressure, insulin sensitivity, blood lipid profiles and fat mass (43).

In response to acute exercise (49) and passive heating (39) glucose tolerance is reduced, but once these interventions are repeated for several weeks, glucose tolerance is increased. One of the earliest studies of heat therapy reported daily hot water immersion (38°C – 41°C) for 3 weeks reduced fasting blood glucose and glycated haemoglobin (HbA_{1c}) (28). Several subsequent studies have since reported reductions in fasting glucose and insulin concentration (14, 25, 47), and improved glucose tolerance (for example 13, 23). Some studies have also reported beneficial changes in blood lipid profiles following heat therapy in healthy active (19) and sedentary obese populations (13) that are similar in magnitude to what is reported by large scale meta-analyses of aerobic exercise interventions (30). Relatively large-scale meta-analyses including 54 randomized control trials in normotensive and hypertensive populations have shown that regular aerobic exercise chronically reduces systolic and diastolic blood pressure by 3.8 and 2.6 mmHg respectively (57). In comparison, reductions in blood pressure are also consistently reported following chronic thermal therapy. Importantly, these reductions may indeed be of a larger magnitude than is seen following exercise training, with some studies reporting decreases of systolic and diastolic blood pressure in the region of ~10 and ~5 mmHg respectively (1, 6, 13, 25). Further studies are required to investigate these potential effects in more detail and in conjunction with other complimentary therapies such as dietary and exercise interventions.

Sedentary behaviour or physical inactivity can lead to chronic low-grade inflammation, characterised by 2-4-fold elevations in inflammatory markers, such as C-reactive protein (CRP), TNF- α and IL-6, which are thought to underpin several aspects of metabolic dysfunction including insulin resistance and atherosclerosis (51). For example, TNF α has been shown to directly induce insulin resistance (29), is actively involved in the development of atherosclerotic lesions (5) and also increases the production of reactive oxygen species which are thought to play a role in endothelial dysfunction (37). Exercise can reduce chronic low-grade inflammation via a reduction in visceral fat mass and subsequent reduction in adipokine release from adipocytes and via the transient induction of an anti-inflammatory state with each bout of exercise (17). During exercise, IL-6 is released from the skeletal muscle and is thought to drive the subsequent increase in anti-inflammatory cytokines, such as IL-1Ra, IL-4 and IL-10, and reduce the resting concentration of pro-inflammatory mediators such as CRP and TNF- α (48). Multiple passive heating studies have consistently reported an increase in the circulating concentration of IL-6, while the evidence for acute elevations in other inflammatory mediators such as HSPs is somewhat equivocal (26). However, when exercise and passive heating are matched for the increase in core temperature, the increase in circulating IL-6 is 3-fold greater following exercise (15). This may be important as the modest increases in IL-6 observed during shorter bouts of exercise (30-45 min) may not be sufficient to induce downstream potent anti-inflammatory mediators such as IL-10 and IL-4 (12) which are important for improving insulin sensitivity and glucose metabolism (27). Future studies should attempt to establish an exercise dose response for a wider array of anti-inflammatory mediators.

Despite some convincing epidemiological data supporting the anti-inflammatory effects of thermal therapy (34), there have been few controlled trials assessing chronic inflammatory responses to passive heating and indeed some of these were of only a short duration (~2

weeks) (25). In this regard, longer term studies should be encouraged. Generally, the most beneficial effects have been seen in diseased populations with elevated levels of chronic inflammation (14, 46), which is indeed similar to what is seen with exercise interventions (3). Interestingly, Ely et al., reported no change in body composition or BMI following passive heating, suggesting that the anti-inflammatory effects are more likely due to the transient induction of an anti-inflammatory state rather than a reduction in adipose tissue per se. In a broader context it is now widely appreciated that improvements in cardiovascular and metabolic health can be seen in the absence of changes in fat mass (21). However, weight loss remains an important goal for many who exercise and often represents a significant barrier to exercise whether this be due to low self-esteem regarding their body image or due to the perceived difficulty of exercising while being overweight (53). From a simplistic perspective, weight loss is dependent on a deficit of energy intake versus energy expenditure and, when matched for the thermal load and duration, exercise results in ~10 times greater energy expenditure than passive heating (15). Indeed, Bailey et al., reported that 8 weeks of moderate intensity exercise reduced body weight while time matched hot water immersion did not (2). Initial evidence also suggests that gut hormone and hunger hormone responses are not altered in response to passive heating, suggesting that as an independent therapy, passive heating is likely to have minimal impact on long-term weight management (36). In populations for whom weight loss is particularly important, it may be beneficial to consider passive heating as a complimentary therapy to existing strategies of exercise and / or diet modification.

Conclusion and future directions

There is considerable overlap between the protective effect of passive heating and exercise, with beneficial adaptations reported in cardiorespiratory fitness, vascular function and

metabolism. Yet, heating does not appear to confer all the important benefits of exercise and potentially not to the same degree in all cases (see Figure 2 for a summary). It is important to consider that our understanding of the health benefits of exercise has developed over several decades, providing considerable detail and nuance to how different populations respond to different forms of exercise, while the study of passive heating is still in its relative infancy. In this regard exercise should be considered as the primary route for maintaining and improving health. Having said this, the health benefits of passive heating have been observed in healthy sedentary and some diseased populations, supporting the supposition that passive heating may be a promising therapy in those who display poor exercise adherence. In this regard, research should continue to focus on those specific populations who may benefit most, and a wide range of populations remain unstudied. It will be hugely important to investigate the risks and potential adverse outcomes associated with passive heating. These remain relatively unexplored, but include potential heat illness, orthostatic intolerance and an increased risk of falling, especially in older individuals (28, 45). Similarly, the physiological basis of these events and any subsequent mitigating strategies should be developed as they have been with exercise.

A limitation of the current comparison between passive heating and exercise is that passive heating interventions are often designed to induce the largest tolerable dose of heating and then subsequently compared to a bout of exercise. Although often similar in terms of the time required, significantly larger volumes of exercise could be tolerated (motivation and time availability notwithstanding). Future studies should also consider the perceptual stress and enjoyment of different interventions with a view to better understanding the potential impact on long-term adherence. If passive heating is to be promoted as an alternative to exercise, future studies should take a systematic approach to understanding the optimal method and dose responses for different health related adaptations. These studies should carefully

consider different durations, frequencies, intensities, mode of heating (i.e. sauna vs. water immersion) and degree of body exposure (i.e. whole-body vs. peripheral), as each factor may well impact subsequent chronic adaptations. Finally, it remains to be seen whether passive heating may be used in conjunction with exercise, either before or after, to enhance or supplement the subsequent health benefits of either intervention when performed in isolation.

DISCLOSURES

The authors have no conflicts of interest, financial or otherwise to declare.

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498 **Figure Legends**

499

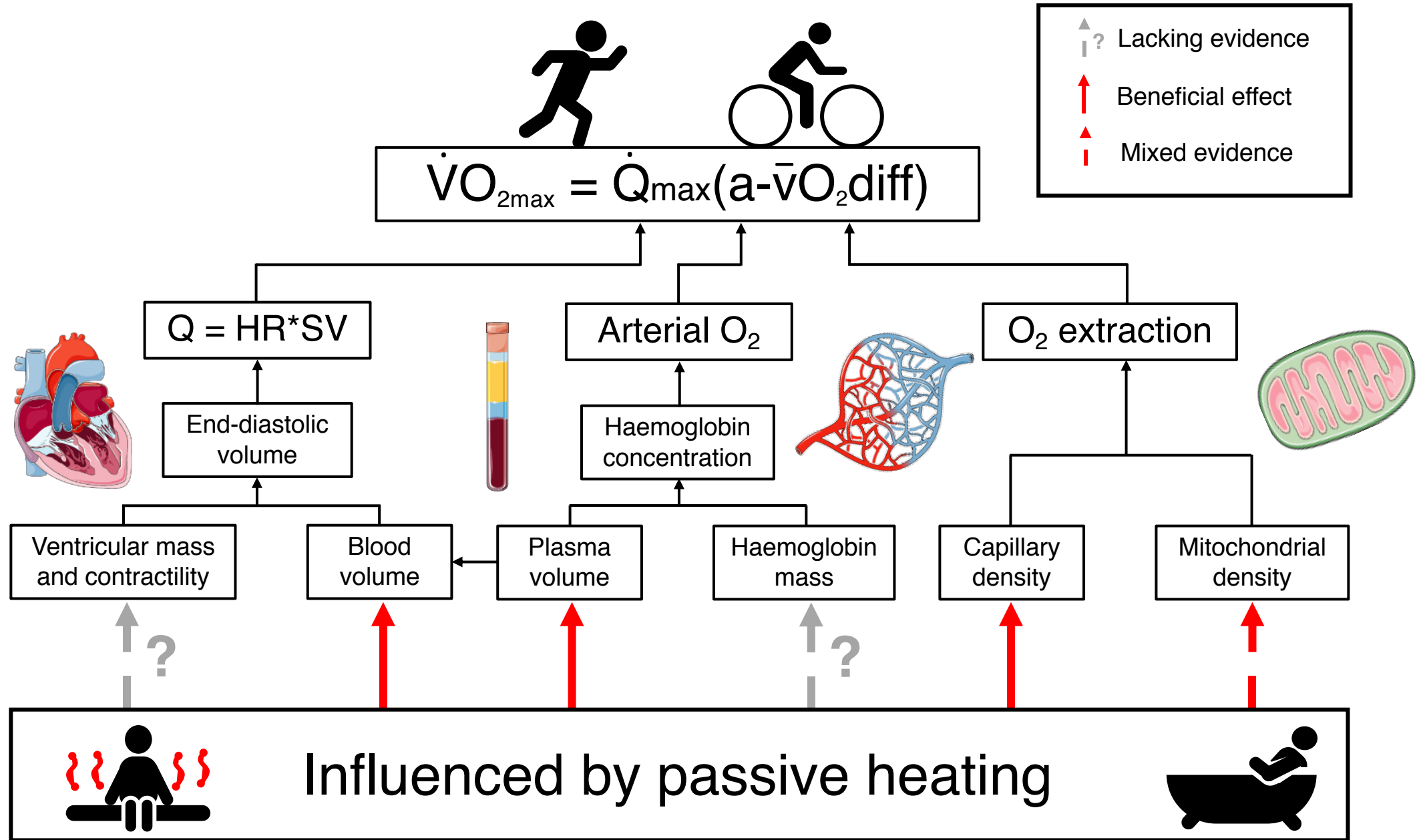
500 **Figure 1.** Summary of the determinants cardiorespiratory fitness measured by of VO_{2max} and
501 the '*potential*' influence of passive heating on those determinants. $a-vO_2diff$ is the difference
502 in oxygen content between a –arterial blood and v –venous blood.














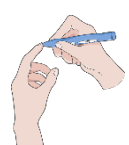


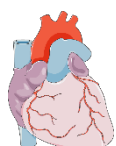


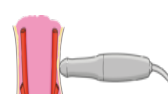





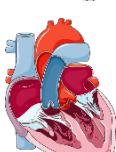


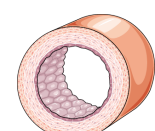


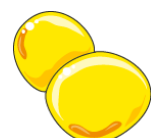
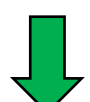

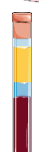


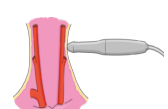








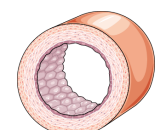





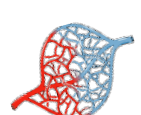



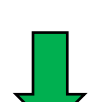
503 Scientific illustrations produced by Servier Medical Art.

504

505 **Figure 2.** Summary of chronic adaptations to exercise and passive heating. Where evidence
506 is indicted as 'mixed' this may be due different results observed dependent upon the
507 population studied or the nature of the heating stimulus. Scientific illustrations produced by

508 Servier Medical Art.



Cardiorespiratory fitness				Vascular health				Cardiometabolic health			
											
	VO ₂ max				Endothelial function				Fasting glucose concentration		
	Left-ventricular function				Peripheral arterial compliance				Fasting insulin concentration		
	Left-ventricular structure				Peripheral arterial wall thickness				Fasting lipid concentrations		
	Plasma volume				Central arterial stiffness				Resting CRP, TNF-α and IL-6 concentration		
	Mitochondrial biogenesis				Central arterial wall thickness				Body mass		
	Capillarisation								Resting blood pressure		

Beneficial increase 

Beneficial decrease 

No effect 

Mixed evidence 

Lacking evidence 